

CAPITAL SECURITIES S.A. – COMPANY INFORMATION

1. The Company

1.1. Capital Securities S.A. (“the Company”) is authorised and regulated by the Hellenic Market Securities Commission (“HCMC”) as a Greek Investment Services Firm to offer the services and activities enlisted herein in this document, under the Markets for financial instruments and other provisions Law of 4514/2018 (the “**Law**”), which has transposed Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments (the “**MiFID II Directive**”) into national law).

1.2. The Company’s license number is 2/11/24.5.1994.

1.3. The Company is registered in Greece under GEMI with register number 31387/06/B/94/18.

1.4. The Company’s registered office is at 58, Metropoleos Str., 105 63 Athens, Greece.

2. Investment Services

2.1. Under its License the Company may offer the following Investment Services:

- (a) Reception and transmission of orders in relation to one or more of the Financial Instruments enlisted below.
- (b) Execution of orders on behalf of Clients.
- (c) Portfolio Management.
- (d) Investment Advice.
- (e) Placing of financial instruments without a firm commitment basis.

3. Ancillary Services

3.1. Under its License the Company may offer the following Ancillary Services:

- (a) Safekeeping and administration of Financial Instruments for the account of Clients, including custodianship and related services such as cash/collateral management.

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- (b) Granting credits or loans to an investor to allow him to carry out a transaction in one or more Financial Instruments where the Company is involved in the transaction.
- (c) Foreign exchange services where these services are connected to the provision of investment services.
- (d) Investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments.
- (e) Services related to underwriting.
- (f) Investment services and activities as well as ancillary services of the type included under section A or B of annex 1 related to the underlying of the derivatives included under section C-5,6,7 and 10-where these are connected to the provision of investment or ancillary services.

4. Financial Instruments

- 41. Under its license the Company may offer the above investment services in relation to Financial Contracts for Differences, which have the meaning given to it in the Law.
- 42. It is understood that the Company does not necessarily offer all the Services and/or Financial Instruments which appear on its license but only those marketed on its website and/or Legal Documents from time to time.